

Attend this informative governance, risk & compliance event



Governance, Risk & Compliance

Conference

27, 28 & 29 March 2012

Indaba Hotel, Fourways, Johannesburg

Showcasing our top class speaker panel:



TOP CLASS SPEAKER PANEL

- ☛ Bernard Agulhas, Chief Executive Officer, Independent Regulatory Board for Auditors (IRBA)
- ☛ Gary Hardy, Director, IT Winners
- ☛ Dr. Josef Langerman, Director, Standard Bank
- ☛ Richard Rattue, Managing Director, Compli-Serve SA
- ☛ Stephen Sadie, Chief Executive Officer, Chartered Secretaries Southern Africa
- ☛ Ugan Naidoo, Managing Director - CA Security, CA Southern Africa
- ☛ Donald Dinnie, Director, Norton Rose South Africa
- ☛ Jaco de Jager, Chief Executive Officer, Association of Certified Fraud Examiners: SA Chapter (ACFE SA)
- ☛ Jonathan Stillwell, Head: Corporate Governance and Sustainability, FirstRand Banking Group
- ☛ Peet Smith, Managing Director, Risk Mitigation Solutions Africa (RMS Africa)
- ☛ Sathie Gounden, Audit Committee Member
- ☛ Ivan Holman, Group Information Security Officer, Medscheme
- ☛ Richard Rhoda, Director, LPD Consulting
- ☛ Themba Mthethwa, Chief Executive Officer, Public Protector South Africa
- ☛ Dr. Len Konar, Chairman, Outsourced Risk and Compliance Assessment (ORCA)
- ☛ Zwelo Mabhoza, Head: Tax, SizweNtsalubaGobodo
- ☛ Dr. Janette Minnaar-van Veijeren, Contractor, Ethics Institute of South Africa
- ☛ Sello Moloko, Chairman, Alexander Forbes Equity Holdings



audit **Audit risk** Basel III **Compliance** Basel III Norms **CAPA** Compliance **Continuous Auditing** COPO **CORPORATE POLICIES** Dodd Frank **Risk energy**
compliance **ERM** in Insurance **FCPA** **AGT** **FERC** **Financial Services** **Foreign Corrupt Practices Act** **Governance** **GRC PLATFORM** **Internal**
Audit **NERC** **Obama** **PCI** **COMPLIANCE** **DSS 2.0** **QMS** **Regulatory** **Compliance** **RISK BASED AUDITS** **Risk Management Scorecard** **Site**
Inspections **Smart Grid** **SOLVENCY II** **Sox compliance** **SQM** **Supplier Performance Management** **Supplier Quality Assurance**

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GRC

It's like anything in life, once the basics are in place, complying with additional regulations isn't that hard

Governance, Risk Management and Compliance are the core principles of running an organisation ethically and responsibly.

A recent survey showed that the general consensus is that South African companies, outside of heavily regulated industries, such as telecoms, pharmaceuticals and financial services - are not keeping up with the latest international best practices in GRC; and especially in IT Governance. Many organisations are lagging behind.

Gone are the days where compliance is of a secondary nature. It has now become a fact of life. Watchdogs such as the Financial Services Board and industry ombudsman are tightening the reins in many industries that were once lightly regulated.

With the latest release of King 3 IT governance is now being positioned as a board level issue and has become a critical part of any organisations overall corporate governance structure. However, with King 3 being mandatory, it has limited power from a GRC management perspective.

So therefore, how real is King3? The answer and many other questions relating to GRC will be addressed at the conference.

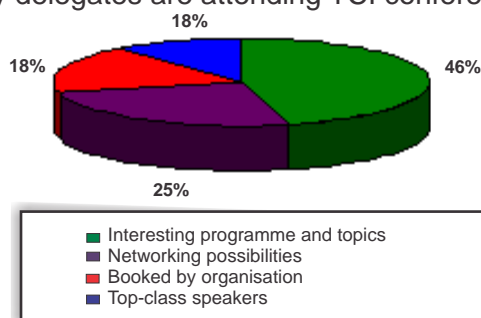
An organisation which is successful with their GRC initiatives, is one that embraces every member of the organisation from top to bottom and ensures that their GRC principles are lived out on a daily basis so as to become a way of life.

If as a firm you want to overcome this daunting challenge, look at GRC as an opportunity to establish and promote operational excellence.

This conference aims to educate, inform and motivate all delegates who will be attending. It will re-instill what you already know, trigger off that which still needs to be addressed, and birth new ideologies, which will assist you to take the pain out of your GRC initiative and cause you to realise the gain.

Trade Conferences International looks forward to meeting all delegates attending the Governance, Risk and Compliance Conference 2012.

Why delegates are attending TCI conferences



speaker companies



About Trade

Conferences International

Established in 2001, TCI is your financial and technology event organizer of choice. We understand technology and finance and have the ability to identify the right issues to bring delegates informative events.

TCI has organised more than 200 events in the last nine years. With the guidance of a highly experienced and dedicated team, TCI arranges the most up-to-date conferences, exhibitions and events.

Nearly 550 delegates alone attended this years most successful financial conferences in South Africa: the Mobile Banking Southern Africa Conference & Exhibition, and the Payments Southern African Conference and Exhibition.

Who should attend ?

compliance officers, compliance directors, consultants, IT managers, risk managers, human resources personnel, procurement officers, legal advisors, contract managers, governance professionals, asset managers, supply chain managers, head of security, head of compliance, forensic specialists, heads of internal audits, finance managers, vendor managers, Chief Executives Officers, Chief Information Officers, Chief Financial Officers, Board members

10 Reasons why you should attend this high profile conference

- Integrate theory and best practice
- Gain insight to the implementation of your GRC initiative
- Use your GRC to gain a competitive advantage within the corporate world
- Discover the shortcomings in the GRC environment
- Identify the gap within GRC in your organisation
- Build potential relationships through connecting with colleagues in the field
- Improve your knowledge and implementation of your GRC initiative
- Interact to better your knowledge and implementation of your GRC initiatives
- Network with colleagues and acquire best practices
- Gain technical knowledge and practical experience

It makes sense to comply

- GRC helps ensure that there are no surprises for the board and investors. It gives managers and the board insight into whether or not they are meeting their strategic goals.
- It assists you to avoid compliance fatigue
- GRC helps to make an organisation a good company to invest in and do business with.

DAY 1

Tuesday
27 March 2012

- 08:00 Registration and morning refreshments
- 08:30 Speed networking session
- 08:50 Opening remarks

OVERVIEW

- 09:00 Governance, Risk and Compliance in the 21st century**
 - lessons learnt from the banking crisis
 - recession and the road to recovery
 - how did the banks incorporate these lessons into a CRM environment?
 - what banks could teach non banks?
 - is global agreement in financial standards possible?**Sello Moloko, Chairman, Alexander Forbes Equity Holdings**
- 09:35 Fraud and its implications on industries**
 - analysing fraud risks and its sources
 - is a connected world making us more susceptible to fraud?
 - using technology to reduce risks of unauthorized or fraudulent transactions
 - privacy concerns in the healthcare sector**Ivan Holman, Group Information Security Officer, Medscheme**
- 10:10 Corporate governance challenges in South Africa**
 - corporate governance challenges in the private sector
 - corporate governance challenges in the public sector
 - the role of the company secretary**Stephen Sadie, Chief Executive Officer, Chartered Secretaries Southern Africa**

10:45 Mid-morning refreshments

GRC FRAMEWORK

- 11:00 How do you map a GRC framework to an organogram and strategy? TBC**
- 11:35 Integrating ESG into organisational control frameworks**
 - defining environmental, social and governance (ESG) issues and their impact on sustainable value creation
 - ESG and the evolution of corporate governance
 - practical examples of ESG issues impacting containable value creation
 - operating models for integrated ESG management
 - the future of ESG management**Jonathan Stilwell, Head: Corporate Governance and Sustainability, FirstRand Banking Group**

GOVERNANCE

- 12:10 Role of the Audit Committee (AC)**
 - boards expectation of AC
 - the combined assurance model
 - shareholders expectation of AC
 - AC's expectation of external audit
 - AC's expectation of internal audit
 - upholding independence & oversight**Sathie Gounden, Audit Committee Member**
- 12:45 Lunch**
- 13:35 Protection of Personal Information Bill**
 - impact
 - challenges
 - discretion granted
 - transparency**Donald Dinnie, Director, Norton Rose South Africa**
- 14:10 Tax risk governance**
 - tax exposures from the new Companies Act, 2008
 - lessons executives and directors take from recent tax cases
 - cost of funding as a result of recent proposed changes in tax legislation
 - risks associated with the proposed Tax Administration Bill**Zwelo Mabhoza, Head: Tax, SizweNtsalubaGobodo**
- 14:45 Mid-afternoon refreshments**
- 15:00 Role of the BBBEE Act in supply chain management**
 - overview of the Act
 - government objectives
 - economic benefits
 - how to improve your scorecard?**Richard Rhoda, Director, LDP Consulting**
- 15:35 End of day**

DAY 2

Wednesday
28 March 2012

- 08:30 Morning refreshments
- 08:50 Opening remarks

IT GOVERNANCE

- 09:00 Governing in the cloud with the aid of ISO**
 - like governance, cloud computing has become the buzzword
 - what is the link between the two?
 - basic components of cloud computing - the true meaning
 - governance- putting the puzzle together
 - moving from " what " to " how"
 - ISO to the rescue**Peet Smith, Managing Director, Risk Mitigation Solutions Africa (RMS Africa)**
- 09:35 Executive guide to IT governance and COBIT**
 - why is IT governance a board level issue?
 - the business value from effective governance and management of IT
 - how COBIT can help
 - what's new with COBIT5?**Gary Hardy, Director, IT Winners**
- 10:10 GRC in the cloud**
 - facilitation of newer business models
 - migration of processing in the cloud
 - how do we ensure GRC in an environment that is not under our control?
 - the cloud is seen primarily as an IT service; this will change - BPO will soon follow suit**Ugan Naidoo, Managing Director - CA Security, CA Southern Africa**

10:45 Mid-morning refreshments

RISK

- 11:00 Role of enterprise architecture in IT risk mitigation**
 - enterprise architecture is the organising logic for business
 - reflecting the integration and standardisation requirements of the firm's operating model
 - effective enterprise architecture reduces business risk, security breaches and disaster tolerance**Dr. Josef Langerman, Director, Standardbank**
- 11:35 Implementation of risk management**
 - the impact of fraud and corruption on a company
 - risk management skills and capabilities
 - steps to take to prevent fraud**Jaco de Jager, Chief Executive Officer, Association of Certified Fraud Examiners: SA Chapter (ACFE SA)**

COMPLIANCE

- 12:10 Aligning King3 with PMFA & MFMA**
 - thrusts of King 3
 - why governance matters for the public sector?
 - how the PMFA is aligned to King3?
 - maintaining effective governance through alignment
 - why consistent effective oversight is necessary?**Dr Len Konar, Chairman, Outsourced Risk and Compliance Assessment (ORCA)**
- 12:45 Lunch**
- 13:35 Importance of maintaining regulatory and compliance priorities in working towards good governance - an audit perspective**
 - document management
 - security standards
 - leadership**Bernard Agulhus, Chief Executive Officer, Independent Regulatory Board for Auditors (IRBA)**
- 14:10 DEBATING SESSION (OPEN DISCUSSION)**

Have financial regulations protected investors

Richard Rattue, Managing Director, Compli - Serve SA
- 14:45 Mid afternoon refreshments**
- 15:00 Case Study - How to establish and embed a compliance culture**
 - top down strategy
 - leveraging of existing controls
 - benefits
 - talk the talk; walk the walk**Themba Mthethwa, Chief Executive Officer, Public Protector South Africa**
- 15:35 Closing of the conference**

HALF DAY WORKSHOP

Risk, compliance, the law, corporate governance and ethics - how does it all fit together?

Workshop program

1. Introduction
2. Relationship between legislation, corporate rules and ethical imperatives
3. Imperatives for compliance and risk management
 - The cost of crime and unethical conduct
 - Best-practice guidelines
 - The UN Global Compact
 - US Federal Sentencing Guidelines
 - International legislation (UK Bribery Act and US Federal Sentencing Guidelines)

Tea/coffee break

4. Complying with corporate, risk and ethical governance imperatives
 - Compliance and corporate governance (King 3)
 - Example of a corporate compliance plan (international case study)
 - Effective policies, codes and procedures
 - Integrity pacts
 - Zero tolerance against crime policy
 - Gift and entertainment policies - practical guideline
 - The ethics of corporate governance
 - Implement an ethics-management programme
 - Steps of an ethics-management programme as prescribed by the King 3 report
 - Establish a Social & Ethics Committee (Companies Act and Regulations)
5. Questions and conclusion

Closing of day
Lunch

Executive Summary

IMPERATIVES FOR CORPORATE AND ETHICS GOVERNANCE AND REQUIRED BY THE KING III REPORT

THE KING 3 REPORT ON CORPORATE GOVERNANCE AND INTERNATIONAL BEST PRACTICE MODELS

Poor governance practices and unethical behavior have a significantly negative impact on professional service delivery, reputation and ultimately, profit. The current international economic crisis calls for a recommitment to sound corporate and ethical governance principles.

Although the King III report is not legislated it sets the standard of expected corporate behaviour in South Africa. The starting point of good governance is that directors and company officers must discharge their legal duties, which necessitates an understanding of the content of applicable legislation and best governance practices. Criteria of good governance, governance codes and guidelines are relevant in the determination of what is regarded as an appropriate standard of conduct. The more established certain governance practices become (as have happened in SA and elsewhere in the world), the more likely a court would regard conduct that conforms to these practices as meeting the required standard of care. Corporate-governance practices, ethics codes and guidelines lift the bar of what are regarded as appropriate standards of conduct. Consequently, any failure to meet a recognised standard of governance, albeit not legislated, may render a board or individual director liable at law.

Amongst topics such as proper risk management and integrated sustainability reporting, King III also prescribes the adoption of an ethics management programme in order to build a culture of integrity. An ethics code should be developed, implemented, assessed, independently verified and formally reported on. Ethics training is seen as central to a responsible ethics management process.

In the light of King II and international best practice models, it is paramount that directors and senior management be trained to understand the full ambit of their responsibilities in terms of good governance, compliance and ethics management.

The workshop will explain the following:

- the relationship between legislation, compliance, corporate governance and ethics
- the cost implication of employee dishonesty and how to manage the risk by applying corporate and ethics governance models
- the risk of poor legal compliance and a poor ethical climate
- how to govern ethics in a practical way in an organisation
- the long-term value of ethical behaviour, good governance and compliance

Delegates will gain an understanding of:

- the law, risks management models, King 3 requirements and ethical imperatives all fit together and what the highest level of compliance is
- to benefit from to being ethical
- ethical and compliance programmes can be implemented with



About the facilitator

DR ADV JANETTE MINNAAR-VAN VEIJEREN

Janette, who holds a BLC and LLB in law from the University of Pretoria (UP), obtained her PhD in Criminal Law (LLD) from UP, in 1998.

She started her professional career at the Bank Supervision Department of the South African Reserve Bank and was admitted as an advocate of the South African Supreme Court in 1991. She lectured at the University of Venda for two years and continued her academic career at UP. From 1998, she has pursued a consulting career in the private sector.

Comments form previous TCI events



An excellent selection of speakers on the various obtained invaluable information & processes deploying cloud computing! well structured conference.
- Ropchand Maharaj
- Umgeni Water

It was an eye opener, the topics really got me to think broader about where the payments industry is going. It also introduced me to current industry players
- Trudy Ndaba
Standard Bank



Upcoming conferences 2012:



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Fees include lunch, refreshments and conference documentation. The organisers reserve the right to make necessary changes to the programmes, speakers, venue or the dates should the need arise.

CANCELLATIONS: will only be permitted within 5 days of registration. Thereafter your organisation will be held liable for payment of the full amount with no exceptions. Cancellations must be done in writing and forwarded to Ryno van Ellewee at info@tci-sa.co.za or faxed to 086 582 2981.

NB: I hereby acknowledge that I have read and understood all the terms and conditions of registration, and have the authority to approve the registration

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